

L Number	Hits	Search Text	DB	Time stamp
1	41	inversion near5 safe	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:44
2	58	inheritance near5 priorit\$6	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:44
3	4	(inheritance near5 priorit\$6) and (inversion near5 safe)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:58
4	135	pip same variable\$1	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:59
5	0	(inheritance near5 priorit\$6) and (pip same variable\$1)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:59
6	6	(inheritance near5 priorit\$6) same variable\$1	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:02
7	3	inversion\$1 and ((inheritance near5 priorit\$6) same variable\$1)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:55
8	8	(mutual near5 exclusion\$1) same (inheritance near5 priorit\$6)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:55

US-PAT-NO: 6560627

DOCUMENT-IDENTIFIER: US 6560627 B1

TITLE: Mutual exclusion at the record level with priority inheritance for embedded systems using one semaphore

DATE-ISSUED: May 6, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	
COUNTRY				
McDonald; Michael F.	San Jose	CA	N/A	N/A
Arora; Sumeet	Milpitas	CA	N/A	N/A
Chu; Mark	Cupertino	CA	N/A	N/A

US-CL-CURRENT: 709/103, 709/100 , 709/104

ABSTRACT:

A method for providing mutual exclusion at a single data element level for use in embedded systems. Entries for tasks that are currently holding a resource are stored in a hold list. Entries for tasks that are not currently executing and are waiting to be freed are stored in a wait list. A single mutual exclusion semaphore flags any request to access a resource.

24 Claims, 7 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 7

----- KWIC -----

TITLE - TI (1):

Mutual exclusion at the record level with priority inheritance for embedded systems using one semaphore

Brief Summary Text - BSTX (7):

Furthermore, in most applications, the mutual exclusion mechanism must support priority inheritance. If a low priority task holds a resource, and a higher priority task requests that resource, the priority of the low priority task should be elevated to that of the high priority task until it task releases the resource. Once the resource is released, priorities should revert to their original levels. In general, it is also desirable for the mutual exclusion mechanism to be able to detect and/or prevent deadlock. In a multi-tasking environment several tasks may compete for a finite number of resources. A task requests resources; if the resources are not available at that time the task enters the wait state. It may happen that waiting tasks will never again change state, because the resources they have requested are held by other waiting tasks. This situation is called deadlock. For example, deadlock occurs when a first task requests a record held by a second task while the second task is simultaneously requesting a record held by the first task. The result is neither task has its request answered. Such an occurrence could cause the application program or system software to crash.

Detailed Description Text - DETX (2):

A system is described that provides mutual exclusion of multiple tasks at the record level with priority inheritance and using one semaphore.

Detailed Description Text - DETX (38):

In the foregoing, a system has been described for providing mutual exclusion of multiple tasks at the record level with priority inheritance and using one semaphore. Although the present invention has been described with reference to specific exemplary embodiments, it will be evident that various modifications and changes may be made to these embodiments without departing from the broader spirit and scope of the invention as set forth in the claims. Accordingly, the specification and drawings are to be regarded in an illustrative rather than a restrictive sense.

US-PAT-NO: 5872909  
DOCUMENT-IDENTIFIER: US 5872909 A  
TITLE: Logic analyzer for software  
DATE-ISSUED: February 16, 1999

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	
WILNER; David N.	Oakland	CA	N/A	N/A
SMITH; Colin	Alameda	CA	N/A	N/A
COHEN; Robert D.	Oakland	CA	N/A	N/A
BURD; Dana	Oakland	CA	N/A	N/A
FOGELIN; John C.	Berkeley	CA	N/A	N/A
FOX; Mark A.	San Francisco	CA	N/A	N/A
LONG; Kent D.	Richmond	CA	N/A	N/A
BURNS; Stella M.	San Francisco	CA	N/A	N/A

US-CL-CURRENT: 714/38, 714/47

ABSTRACT:

The present invention logs events which occur in the target software, and stores these in a buffer for periodic uploading to a host computer. Such events include the context switching of particular software tasks, and task status at such context switch times, along with events triggering such a context switch, or other events. The host computer reconstructs the real-time status of the target software from the limited event data uploaded to it. The status information is then displayed in a user-friendly manner. This provides the ability to perform a logic analyzer function on real-time software. A display having multiple rows, with one for each task or interrupt level, is provided. Along a time line, an indicator shows the status of each program, with icons indicating events and any change in status.

30 Claims, 18 Drawing figures

Exemplary Claim Number: 1

Number of Drawing Sheets: 14

----- KWIC -----

Detailed Description Text - DETX (26):

Each task has a "priority" which indicates that task's eligibility to control the CPU relative to the other tasks in the system. A task is in the inherited state when its priority has been increased because it owns a mutual exclusion semaphore that has priority inheritance enabled and a higher-priority task is waiting for that semaphore. Priority inheritance is a solution to the priority inversion problem: a higher-priority task being forced to wait an

indefinite period of time for the completion of a lower-priority task. For example, assume that task 30 needs to take the mutual exclusion semaphore for a region, but taskLow currently owns the semaphore. Although taskHi preempts taskLow, taskHi pends immediately because it cannot take the semaphore. Under some circumstances, taskLow can then run, complete its critical region and release the semaphore, making taskHi ready to run. If, however, a third task, taskMed, preempts taskLow such that taskLow is unable to release the semaphore, then taskHi will never get to run. With the priority inheritance option enabled, a task, such as taskLow, that owns a resource executes at the priority of the highest-priority task pended on that resource, the priority of taskHi in the example. When the task gives up the resource, it returns to its normal priority.

TDB-ACC-NO: NN9507111  
DISCLOSURE TITLE: Microkernel Semaphores  
PUBLICATION-DATA: IBM Technical Disclosure Bulletin, July 1995, US  
VOLUME NUMBER: 38  
ISSUE NUMBER: 7  
PAGE NUMBER: 111 - 118  
PUBLICATION-DATE: July 1, 1995 (19950701)  
CROSS REFERENCE: 0018-8689-38-7-111  
DISCLOSURE TEXT:

Described is the architecture, analysis, design and implementation of fast synchronization primitives in the IBM\* Microkernel Product. Without these mechanisms, only kernel mechanisms are provided to enable applications and/or threads to rendezvous or to protect resources. In the case where resources are often available, the use of kernel mechanisms is often too expensive. - The function of the synchronization services package will now be described. In addition to any synchronization services provided by a threading package, such as C threads, the microkernel product provides three types of user level synchronization---counting semaphores, exclusion semaphores, and conditions---each of which can be used for synchronization within a single task. Counting semaphores can be used for synchronization between cooperating tasks. The counting semaphores and conditions mechanisms provide timeout capabilities for functions that block. Additionally, the counting functionality semaphores allow for unlimited readers, while providing some basic recovery features. The design ensures that uncontested acquisition of a synchronization structure is as fast as possible since there is no interaction with the microkernel.

The synchronization services use the following data structures:

- o sema\_id\_t is a pointer to a counting semaphore that permits limited restricted acquisitions and unlimited shared acquisitions.
- o sema\_attr\_t is a pointer to the semaphore attribute structure. The countfield specifies how many restricted acquisitions are permitted. The type field specifies if the semaphore is to be shared by multiple tasks, and if it is to be recoverable.
- o mutex\_id\_t is a pointer to a mutual exclusion semaphore that permits only one restricted acquisition and no shared acquisitions.
- o mutex\_attr\_t is a pointer to the mutual exclusion semaphore attribute structure.

The policy field specifies which lock management policy will be applied to the mutex. Possible values are MUTEX\_BP or MUTEX\_BPI. MUTEX\_BP sets the policy to basic priority protocol. MUTEX\_BPI sets the policy to basic priority protocol with priority inheritance. Priority inheritance is supported, but may affect performance.

- o cond\_id\_t is a pointer to a condition variable. cond\_attr\_t is a pointer to the condition variable attribute structure. The

timeout field specifies the default timeout period for this condition variable. The default for timeout is TIMEOUT\_NONE.

If

the timeout variable is set to 0, the call will return immediately after requesting a context switch. The mutex field is filled in by the user to specify which mutex is associated with the condition.

In order to provide fast synchronization services, it is important to avoid unnecessary microkernel calls. Therefore, the microkernel semaphore library uses shared memory (either in a single task or between tasks) to house its semaphore, mutex, and condition variables. These are all protected by simple locks (spin locks). When an attempt is made to acquire one of these resources, the spin lock is taken and the data structure in shared memory is analyzed.

If available, the data structure is marked appropriately, the simple lock released and the thread proceeds. If the resource is not available, a kernel call is made to atomically block on a virtual-memory-based condition and release the simple lock. When a mutex or semaphore is released, or when a condition is posted, the simple lock is also taken. The shared memory data structures are again analyzed to determine if there are any threads waiting on this event. If there are, a call is made into the microkernel to post to the appropriate virtual memory condition, unblocking either one or all waiting threads. Finally, the simple lock is released.

The semaphore services provide the following interfaces to the user:

`semas_condition_broadcast`

Function--Indicates a change in a condition variable to all waiting threads.

Synopsis--`kern_return_t semas_condition_broadcast(  
cond_id_t cond);`

Description--The `semas_condition_broadcast` function indicates a status change in the condition `cond`. The associated mutex must be locked across this call. All threads that are waiting on the condition are awakened.

`semas_condition_clear`

Function--Terminates use of a condition variable.

Synopsis--`kern_return_t semas_condition_clear(  
cond_id_t cond);`

Description--The `semas_condition_clear` function finalizes the use of the condition variable identified as `cond`. If there are any waiters on the condition, an error will be returned and the condition will not be cleared.

`semas_condition_get_attr`

Function--Obtains current attributes of a condition variable.

Synopsis--`kern_return_t semas_condition_get_attr(  
cond_id_t cond,  
cond_attr_t cond_attr);`

Description--The `semas_condition_get_attr` function fills in the structure referenced by `cond_attr` with the attributes associated with the condition `cond`. If `cond` does not exist, an error is returned.

`semas_condition_init`

Function--Initializes a condition variable.

Synopsis--`kern_return_t semas_condition_init(  
cond_id_t cond,  
cond_attr_t cond_attr);`

```

    cond_id_t      cond,
    cond_attr_t    cond_attr);
Description--The semas_condition_init function initializes the
condition variable cond with the attributes specified in the
attribute structure referred to by cond_attr.
When the
condition variable is initialized, the attribute structure can
be reused or freed.
semas_condition_set_attr
Function--Sets attributes of a condition variable.
Synopsis--kern_return_t semas_condition_set_attr(
    cond_id_t      cond,
    cond_attr_t    cond_attr);
Description--The semas_condition_set_attr function uses the
structure referenced by cond_attr, set the attributes (such as
default time-out) associated with the already initialized
condition cond. Changing the attributes does not affect any
threads currently waiting on the condition. The mutex field is
not changed.
If cond does not exist, an error is returned.
semas_condition_signal
Function--Indicates a change in a condition variable to a single
waiting thread.
Synopsis--kern_return_t semas_condition_signal(
    cond_id_t      cond);
Description--The semas_condition_signal function indicates a status
change in the condition cond. The associated mutex must be
locked across this call. The highest priority thread that is
waiting on the condition is awakened.
semas_condition_wait
Function--Waits for notification on a condition variable.

    Synopsis--kern_return_t semas_condition_wait(
        cond_id_t      cond);
Description--The semas_condition_wait function waits for
notification to occur on condition cond. The default time out
period is used for this condition. The associated mutex must be
locked by the calling thread and then unlocked and relocked
across the wait, even if the default time-out period is zero.
Upon successful return, the condition must be retested.
Attempts to wait on a freed condition return an error.

    semas_condition_wait_timed
Function--Waits with specified timeout for a notification on a
condition variable
Synopsis--kern_return_t semas_condition_wait_timed(
    cond_id_t      cond,
    timeout_t      timeout);
Description--The semas_condition_wait_timed function waits for
notification to occur on condition cond. The time out period is
specified by timeout. The associated mutex must be locked by
the calling thread and then unlocked and relocked across the
wait, even if the time-out period is zero. Upon successful
return, the condition must be retested. Attempts to wait on a
freed condition return an error.

    semas_condition_waiters
Function--Returns an indication of whether any threads are waiting
on a condition variable.
Synopsis--kern_return_t semas_condition_waiters(
    cond_id_t      cond,

```



```

    boolean_t      *waiters);
Description--The semas_condition_waiters function returns, in
waiters, a boolean_t value indicating whether there are any
threads waiting on condition cond. This call is informational
only; the state may change upon return.
semas_mutex_clear
Function--Terminates use of a mutual exclusion semaphore.

```

```

    Synopsis--kern_return_t semas_mutex_clear(
        mutex_id_t    mutex);
Description--The semas_mutex_clear function finalizes the use of
the mutual exclusion semaphore identified as mutex. If the
mutex is currently locked, an error is returned and the mutex is
not finalized. It is the responsibility of the user to finalize
any conditions that may be associated with mutex before
finalizing the mutex.
semas_mutex_init
Function--Initializes a mutual exclusion semaphore with specified
attributes.

```

```

    Synopsis--kern_return_t semas_mutex_init(
        mutex_id_t    mutex,
        mutex_attr_t   mutex_attr);
Description--The semas_mutex_init function initializes the mutual
exclusion semaphore referred to by mutex with the attributes
specified in the attribute structure referred to by mutex_attr.
Once the mutual exclusion semaphore is initialized, the
attribute structure can be reused or freed.
semas_mutex_lock
Function--Acquires a mutual exclusion semaphore.
Synopsis--kern_return_t semas_mutex_lock(
    mutex_id_t        mutex);

```

```

    Description--The semas_mutex_lock function acquires a mutual
exclusion semaphore identified as the mutex. This request
succeeds immediately if no other thread has acquired the mutex.
If the mutex can not be acquired immediately, the thread waits.
Attempts to acquire a cleared mutex return
KERN_INVALID_ARGUMENT.
semas_mutex_unlock
Function--Unlocks a mutex
Synopsis--kern_return_t semas_mutex_unlock (
    mutex_id_t    mutex);
Description--The semas_mutex_unlock function releases the mutex.

```

```

    semas_mutex_waiters
Function--Indicates whether any threads are waiting on a semaphore.
Synopsis--kern_return_t semas_mutex_waiters(
    mutex_id_t    mutex,
    boolean_t     *waiters);
Description--The semas_mutex_waiters function returns, in waiters,
a boolean value indicating whether there are any threads waiting
on mutex. Threads waiting on an associated condition are not
considered to be waiting on the mutex. This call is informational
only. There is no guarantee that the state remains unchanged upon
return.

```

```

    semas_sema_attach
Function--Attaches a shared semaphore to the current task.

```

Synopsis--`kern_return_t semas_sema_attach(  
sema_id_t           sema)`

Description--The `semas_sema_attach` function attaches shared semaphore `sema` to the calling task. A task passes the id (a pointer) of a shared semaphore to another task. The second task calls this routine to attach to the semaphore. When done, the task, which must have read/write access to the memory area where the semaphore resides, detaches itself from the semaphore with a call to `semas_sema_detach()`.

The task that originally initializes a shared semaphore is automatically attached to it.

`semas_sema_clear`

Function--Terminates use of semaphore.

Synopsis

`kern_return_t semas_sema_clear(  
sema_id_t           sema);`

Description--The `semas_sema_clear` function finalizes the use of the semaphore identified as `sema`. If the semaphore is currently acquired by another thread, an error is returned. If the semaphore is a shared semaphore, and there are still tasks attached to it, an error is returned.

Any subsequent lock requests that occur after the semaphore has been de-allocated are returned `KERN_INVALID_ARGUMENT`.

`semas_sema_detach`

Function--Detaches a semaphore from the current task.

Synopsis--`kern_return_t semas_sema_detach(  
sema_id_t           sema);`

Description--The `semas_sema_detach` function detaches the shared semaphore `sema` from the current task.

`semas_sema_init`

Function--Initializes a semaphore with specified attributes.

Synopsis--`kern_return_t semas_sema_init(  
sema_id_t           sema,  
sema_attr_t         sema_attr);`

Description--The `semas_sema_init` function initializes the semaphore referred to by `sema` with the attributes specified in the structure referred to by `sema_attr`. If the semaphore is shared, the calling task automatically attaches to the semaphore. Once the semaphore is initialized, the attribute structure can be reused or freed.

`semas_sema_lock`

Function--Acquires a semaphore.

Synopsis--`kern_return_t semas_sema_lock(  
sema_id_t           sema,  
timeout_t           timeout,  
int                 lock_mode);`

Description--The `semas_sema_lock` function acquires a semaphore if `lock_mode` has the value `LOCK_RESTRICTED`. This function acquires the semaphore in restricted mode. This request succeeds immediately if no other thread has requested or acquired the semaphore in read-only mode or exclusive mode, and the number of restricted acquisitions does not exceed the limit specified when the semaphore was allocated. If the semaphore can not be acquired within the specified time period, an error is returned.

If `lock_mode` has the value `LOCK_READONLY`. This function acquires the semaphore in read-only mode. This request succeeds immediately if no other thread has requested or acquired the

semaphore in restricted mode or exclusive mode. If the semaphore cannot be acquired within the specified time period, an error is returned. If lock\_mode has the value LOCK\_EXCLUSIVE, this function acquires the semaphore exclusively. This request succeeds immediately if no other thread has acquired the semaphore. If the semaphore cannot be acquired within the specified time period, an error is returned. If the semaphore is a recoverable semaphore, the id of the locking thread is added to the holders list for the semaphore.

semas\_sema\_recovered

Function--Indicates that damaged data related to a semaphore has been repaired.

Synopsis--kern\_return\_t semas\_sema\_recovered(  
sema\_id\_t sema);

Description--The semas\_sema\_recovered function indicates that previously damaged semaphore-protected data has been restored to a known state. The semaphore remains locked after a call to semas\_sema\_recovered. The recommended sequence is to acquire the damaged semaphore exclusively, repair the data, indicate the data is no longer suspect (this call), and unlock the semaphore. If a thread terminates while holding a recoverable semaphore, an attempt is made to remedy the situation.

(Recovery of a

read-only semaphore lock is straightforward: the count is adjusted and any blocked threads are awakened as if a normal semas\_sema\_unlock occurred.) Recovery of an exclusive semaphore lock proceeds as follows: the semaphore is marked as damaged, the count is adjusted and subsequent acquisitions will succeed, but a status of SEMA\_DAMAGED is returned from the lock routine that indicates that semaphore data might be inconsistent. If the user is able to restore the protected data to a known state, the damaged status might be cleared by a call to the semas\_sema\_recovered routine.

semas\_sema\_unlock

Function--Releases a lock on a semaphore.

Synopsis--kern\_return\_t semas\_sema\_unlock(  
sema\_id\_t sema);

Description--The semas\_sema\_unlock function releases a lock on the semaphore sema. If the semaphore being unlocked is a recoverable semaphore, the id of the unlocking thread is removed from the holders list of the semaphore. If the task and thread id of the unlocking thread are not on the holders list, an error is returned and the thread is not unlocked.

semas\_sema\_waiters

Function--Indicates if threads are waiting on a semaphore.

Synopsis--kern\_return\_t semas\_sema\_waiters(  
sema\_id\_t sema,  
boolean\_t \*waiters);

Description--The semas\_sema\_waiter function indicates whether any threads are blocked waiting for a semaphore. There is no guarantee that the state remains unchanged upon return.

\* Trademark of IBM Corp.

SECURITY: Use, copying and distribution of this data is subject to the restrictions in the Agreement For IBM TDB Database and Related Computer Databases. Unpublished - all rights reserved under the Copyright Laws of the United States. Contains confidential commercial information of IBM exempt from FOIA disclosure per 5 U.S.C. 552(b)(4) and protected under the Trade

Secrets Act, 18 U.S.C. 1905.

COPYRIGHT STATEMENT: The text of this article is Copyrighted (c) IBM Corporation 1995. All rights reserved.

L Number	Hits	Search Text	DB	Time stamp
1	41	inversion near5 safe	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:44
2	58	inheritance near5 priorit\$6	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:44
3	4	(inheritance near5 priorit\$6) and (inversion near5 safe)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:58
4	135	pip same variable\$1	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:59
5	0	(inheritance near5 priorit\$6) and (pip same variable\$1)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 20:59
6	6	(inheritance near5 priorit\$6) same variable\$1	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:02
7	3	inversion\$1 and ((inheritance near5 priorit\$6) same variable\$1)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:55
8	8	(mutual near5 exclusion\$1) same (inheritance near5 priorit\$6)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/09/30 21:55